

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Naoki YAMAMOTO

Serial No.: Not yet assigned  
Divisional Application of Serial No. 09/639,306, Issued as  
Patent No. 6,645,799

Filed: Even date herewith

For: **METHOD OF MANUFACTURING A DUAL GATE  
SEMICONDUCTOR DEVICE WITH A POLY-METAL  
ELECTRODE (As Amended)**

Group: 2813 (expected)

Examiner: Jack S. J. Chen (expected)

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 27, 2004

Sir:

Pursuant to 37 CFR 1.97(b) and 1.98, attached hereto is one (1) form PTO/SB/O8A listing the six (6) references which were cited by or submitted to the U.S. Patent and Trademark Office in prior applications Serial No. 09/639,306 filed August 16, 2000, Serial No. 10/272,369 filed on October 17, 2002, and Serial No. 10/448,351 filed on May 30, 2003, the parent and related applications of the present continuation application.

Pursuant to 37 CFR 1.98(d), copies of these six (6) references are not being provided with this Information Disclosure Statement because the references were previously cited by or submitted to the Office in the prior applications identified above

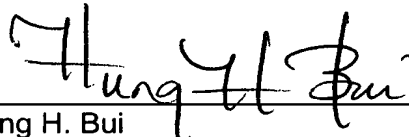
and the prior applications are being relied upon in the present application for an earlier filing date under 35 USC 120 as required by 37 CFR 1.97(d)(1), and the references which were submitted to the Office in the prior applications were submitted in compliance with the version of 37 CFR 1.98(a)-(c) in effect as of the filing date of this Information Disclosure Statement as required by 37 CFR 1.98(d)(2).

Pursuant to 37 CFR 1.97(b)(1), this Information Disclosure Statement is being submitted within three months of the filing date of the above-identified national application.

It is respectfully requested that this Information Disclosure Statement be considered.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	Not yet assigned Divisional of 09/639,306	
			Filing Date	Even date herewith	
			First Named Inventor	Naoki YAMAMOTO	
			Art Unit	2813 (expected)	
			Examiner Name	Jack S. J. Chen (expected)	
Sheet	1	of	1	Attorney Docket Number	500.38899VX4

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	5,683,920	11-04-1997	LEE	
		US-	5,780,330	07-14-1998	CHOI	
		US-	6,300,184	10-09-2001	CHOI et al.	
		US-	6,503,788	01-07-2003	YAMAMOTO	
		US-	2002/0110969	08/2002	MORI et al.	
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		IEEE ELECTRON DEVICE LET., VOL. 17, NO. 11, NOV. 1996, PP. 497-499	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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